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PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/680,410
Filing Date	October 8, 2003
First Named Inventor	Maria J. KOZO et al.
Group Art Unit	TBA
Examiner Name	TBA
Total Number of Pages in This Submission	
Attorney Docket Number	005127.00238

ENCLOSURES *(check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Assignment Papers *(for an Application)*
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) ____
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below)*:
  References

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm *or* Individual name	Darrell G. Mottley, Registration No. 42,912
Signature	
Date	January 8, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: ____

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
	)	
Maria J. KOZO et al.	)	Group Art Unit: TBA
	)	
Serial No.: 10/680,410	)	Examiner: TBA
	)	
Filed: October 8, 2003	)	Atty. Docket No.: 005127.00238
	)	
For: ARTICLE OF FOOTWEAR HAVING AN EMBEDDED PLATE STRUCTURE	)	
	)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in compliance with 37 C.F.R. §§ 1.97, 1.98 Applicant herewith submits form PTO/SB/08A, listing the US Patent references to be considered for the examination of this application. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein.

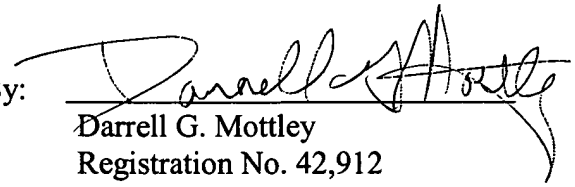
Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and within three month of the filing date of the instant application. Accordingly, no certification or fee is believed required. In the event of an error,

should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

Date: January 8, 2004

By: 

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Substitute for form 1449A/PTO

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Complete if Known	
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Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	005127.00238

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.[1]	Document Number Number - Kind Code[2] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,528,842	06-25-1996	Ricci et al.	
		6,041,525	03-28-2000	Kelley	
		6,108,943	08-29-2000	Hudson et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3] - Number[4] - Kind Code[5] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]

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[1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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